ASSAYA
PRECIOUS METALS DMCC

ASSAYA PRECIOUS METALS DMCC

PLATFORM RULEBOOK

Document 07

Confidentiality & Discretion Standard

The market is visible; the people are not

Version 1.0 · Issued November 10, 2026 · Effective upon publication on assayametals.com

Article 1 — The Rule

1.1 Buyers and Sellers act under Codes in every listing, message and Deal Room. Intermediaries are named and known. No Participant shall attempt to identify a coded counterparty, directly or indirectly, including by combining Platform information with outside information.

Article 2 — Code-Lift

2.1 Legal names enter a Transaction at Stage 2 only, and only inside the executed SPA and IMFPA and the notary's verification record. The Deal Room continues under Codes after code-lift. The Company's verification file remains private at all times.

Article 3 — Need-to-Know by Stage

Stage	Who sees what
1 — Coded Negotiation	The four parties, by Code and name (Intermediaries) only. Terms worksheet; no identity data.
2 — SPA & IMFPA	The four, plus each side's appointed counsel as reviewers. Legal names inside the documents only.
3 — Exchange of Proofs	The four. POP media framed on inventory and scanner only; POF logged by kind, issuer and verification route.
4 — Procedure & Guarantee	The four; the accepted Refinery and the Paymaster, for their steps. Guarantee status

	shown as Issued / Verified / Not verified.
5 — Execution & Settlement	The four; Refinery, Paymaster and booked suppliers, for their steps. Escrow statuses; disbursement lines to REFLECTED.
6 — Closing & Roll-Over	The four; service parties for closing items. Sealed file to the Vault; anonymised summary released publicly.

Article 4 — The Company's Duties

4.1 Identity and verification documents are held in the Company's private file only: never published, never shown to counterparties, never carried in email. Notifications state that an item awaits in the app, and nothing more.

4.2 Documents are watermarked to the viewer; access is role- and stage-bound; downloads are logged; every view, approval and signature enters the audit log, which is hash-anchored on the Integrity Ledger.

Article 5 — Exceptions; Survival; Breach

5.1 Disclosure compelled by law or a competent authority is permitted to the extent compelled, with notice to the affected Participant where lawful.

5.2 Confidentiality under this Standard survives closing and termination, without time limit.

5.3 Breach — identifying or attempting to identify a coded party, disclosing Deal Room content, or trading on information from a room — ends participation under Document 02, Article 8, in addition to liability under the Undertaking (Document 12).

Article — — Governing Law and Dispute Resolution

1 Governing law. This document is governed by, and construed in accordance with, the laws of the United Arab Emirates as in force in the Emirate of Dubai, including the regulations of the Dubai Multi Commodities Centre (DMCC).

2 Arbitration. Any dispute arising out of or in connection with this document shall first be addressed by negotiation between the parties' senior representatives for fifteen (15) Business Days. Failing resolution, the dispute shall be finally resolved by arbitration administered by the Dubai International Arbitration Centre (DIAC) under the DIAC Arbitration Rules, by a sole arbitrator, seated in Dubai, United Arab Emirates, in the English language. The award is final and binding and may be enforced in any competent court.

3 Interim relief. Nothing in this Article prevents a party from seeking urgent interim relief from a competent court, or Assaya from giving effect to a compliance hold under the Responsible Sourcing & AML Standard.

— End of Document 07 —